

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Cox et al.

Examiner in immediately preceding

parent case: Blyveis, D.

Serial No.: unknown

Anticipated Group Art Unit: 3306

Filed: August 11, 1997

For: PERFUSION BALLOON ANGIOPLASTY CATHETER

Docket No.: 33019/138/103

TRANSMITTAL SHEET

The Assistant Commissioner for Patents Washington, D.C. 20231

U.S.C. 119.

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number ofEM020714641US , in an envelope address to: Assistant Commissioner for Patents; Washington, D.C., 20281 on this									
We are transmitting herewith the attached Patent Application including the following:									
[]	sheet(s) of specification.							
[]	claim(s).							
[]	sheet(s) of Abstract.							
[]	sheet(s) of formal/informal drawings.							
[] .	Executed/unexecuted Declaration and Power of Attorney.							
[]	A verified statement(s) to establish small entity status under 37 C.F.R. 1.9 and/or 1.27 is enclosed.							
]]	An Assignment of the invention to							
		filed contemporaneous with this patent application.							
[] .	A certified copy of a application, serial							

the right of priority of which is claimed under 35

TWC

CLAIMS AS FILED									
,	(1)	(2)	SMALL	ENTITY	OTHER				
FOR:	# FILED	# EXTRA	Rate Fee		Rate	Fee			
BASIC FEE				\$385		\$770			
TOTAL CLAIMS	12-20 =		x11=	\$	x22=	\$			
INDEPENDENT CLAIMS	4 -3 =	1	x40=	\$	x80=	\$80			
() MULTIPLE PRESENTED	DEPENDENT	CLAIM	+130=	\$	+260=	\$			
·	TOTAL	\$		\$850.00					

*If the difference in Column (1) is less than zero, enter "0" in Column 2.

[XXXX]	Other <u>File Wrapper Continuing Application (FWC) under</u> 37 CFR §1.62							
	•							
[XXXX]	A check in the amount of \$ 850.00 is enclosed.							
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 14-0620. By: MA AM Glenn M. Seager							
	Reg. No36,926							

Glenn M. Seager NAWROCKI, ROONEY & SIVERTSON, P.A. Suite 401, Broadway Place East 3433 Broadway Street Northeast Minneapolis, Minnesota 55413-3009

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For: PERFUSION BALLOON ANGIOPLASTY CATHETER

FILE WRAPPER CONTINUING APPLICATION (FWC) 37 CFR 1.62)

Box FWC Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

- I. This is a request for a filing under the file wrapper continuing application procedure, 37 CFR 1.62, for a continuation of prior complete application Serial No. __08/612,061 ____, filed on __March 7, 1996 ____.
 - 1. Title, as originally filed, PERFUSION BALLOON

 ANGIOPLASTY CATHETER, and as last amended,

CERTIFICATE OF MAILING (37 CFR 1.10)

I hereby certify that this FWC transmittal and the documents referred to as being attached therein are being deposited with the United States Postal Service on this date <u>August 11, 1997</u> in an envelope as "Express Mail Post Office to Addressee" mailing Label Number <u>EM020714641US</u> addressed to the: Commissioner of Patents and Trademarks, Box FWC, Washington, D.C. 20231.

Data.

Type of print name of person mailing paper

(Signature of person mailing paper)

2. Name of applicants (as originally filed and as last
amended) and current correspondence address of applicant:
Full name of sole or first inventor
Residence Plymouth, Minnesota
Citizenship U.S.A.
Post Office Address <u>15820 Ninth Avenue North</u>
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Full name of second or joint inventor Richard G. Cornelius
Residence <u>Wayzata, Minnesota</u>
Citizenship U.S.A.
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Residence <u>Wayzata, Minnesota</u>
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Full name of fourth or joint inventor <u>Gregory A. Boldenow</u>
Residence <u>Crystal, Minnesota</u>
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Post Office Address 6809 Valley Place North
Crystal Minnegeta FF427

Full	name	of	fifth	or	joint	invent	or _	Bro	oke O.	Ren			
Resi	dence		Champl:	in,	Minnes	sota							
						····			Citize	enship	·	China	
Post	Offic	e i	Addres	s	1328	31 Yorkt	<u>town</u>	<u>Lan</u>	e				
					Char	mplin,	Minn	esot	a 5531	_6			

The above application is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that, if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

II. Inventorship Statement

This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above, and the inventors in this application are the same.

III. Declaration or Oath

Continuation - none required, as such was filed in the parent case identified above.

IV. Identification of Claims for Further Prosecution

The fees to be charged are to be based on the number of claims remaining as a result of the:

the unentered amendment filed under 37 CFR §1.116 in the prior application, which is now repeated.

V. Fee Calculation

CLAIMS FOR FEE CALCULATION									
Number Filed	Number E	Extra	Rate	Basic Fee \$770.00					
Total Claims 12-20=									
Independent Claims 4-3=	1	Х	\$ 80.00	\$80.00					
Multiple depender	0								
The fee for extra claims is not being paid at this time.									
Fili	\$850.00								

VI. Method of Payment of Fees

Attached is a check in the amount of \$850.00.

VII. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 14-0620.

VIII. Relate Back - 35 U.S.C. 120

Amend the specification at page 1, line 2, by inserting the following:

--This application is a continuation of application Serial No. 08/612,061, filed March 7, 1996, which is a continuation-in-part of application Serial No. 08/441,618, filed May 15, 1995, which in turn is a continuation-in-part of application Serial No. 08/204,733, filed March 2, 1994, now abandoned.--

IX. Power of Attorney

The power of attorney in the prior application is to:

Wayne A. Sivertson, Reg. No. 25,645
Lawrence M. Nawrocki, Reg. No. 29,333
John L. Rooney, Reg. No. 28,898
Donald A. Jacobson, Reg. No. 22,308
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Address all future communications to:

Glenn M. Seager NAWROCKI, ROONEY & SIVERTSON, P.A. Suite 401, Broadway Place East 3433 Broadway Street N.E. Minneapolis, MN 55413 Tel: (612) 331-1464

X. Abandonment of Prior Application

Please abandon the prior application at a time while the prior application is pending and when this application is granted a filing date so as to make this application copending with said prior application. At the same time, please add the words "now

abandoned" to the amendment to the specification set forth in VIII above.

XI. Assignment

The prior application is assigned to SciMed Life Systems, Inc. as recorded in the Patent and Trademark Office on Reel 8049, Frame <u>0608</u>.

Glenn M. Seager, Red. No. 36,926 NAWROCKI, ROONEY & SIVERTSON, P.A. Suite 401, Broadway Place East

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